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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
( Case No. 00-873-I (500.011) )

In the Application of:

Thompson et al.

Serial No.: 10/715,285

Filing Date: November 17, 2003

For: Nucleic Acid Molecules with Novel  
Chemical Compositions Capable of  
Modulating Gene Expression

Examiner: TBD

Group Art Unit: TBD

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

TRANSMITTAL LETTER

In regard to the above identified application:

1. We are transmitting herewith the attached papers for the above identified new patent application:

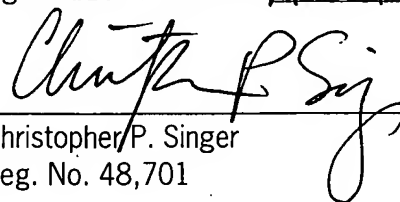
- ☒ Information Disclosure Statement;
- ☒ Information Disclosure Statement (IDS) PTO-1449 Form;
- ☒ Copies of IDS Citations for S/N 10/715,285 (Total 1 foreign patent and 15 other documents); and
- ☒ Return Receipt Postcard.

2. With respect to additional fees, no additional fee is required.

3. GENERAL AUTHORIZATION: Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.

4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450 on MARCH 23 2004.

By :

  
Christopher P. Singer  
Reg. No. 48,701

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**PATENT**

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| <b>Modulating Gene Expression</b>             | ) |                            |

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97 - 1.99, the Applicant wishes to make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. Section 1.56. This application is a continuation of US Serial No. 09/866,316, filed May 25, 2001, which is a continuation-in-part of US Serial No. 09/103,636, filed June 23, 1998, which claims priority to US Serial No. 60/082,404, filed April 20, 1998, and is relied upon for an earlier filing date under 35 U.S.C. § 120. In accordance with Rule 37 CFR §1.98(d), those references marked with an "\*" have been previously cited and submitted to the Patent and Trademark Office with the prior applications and additional references are enclosed herein. All references cited are also listed in the PTO-1449 form enclosed herewith.

The Office has waived the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the

national stage under 35 USC § 371 after June 30, 2003. In accordance with this waiver, cited U.S. patents and U.S. patent application publications are not enclosed.

In the judgment of the undersigned, portions of the listed references may be material to the Examiner's consideration of the presently pending claims. This statement is not a representation that the listed references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Section 102 or Section 103.

Applicants do not believe any fee is due with this submission. If this belief is in error and the Patent Office determines that the fee prescribed in the relevant portion of 37 C.F.R. Section 1.97 is applicable, the undersigned attorney by his signature hereby authorizes any such fee to be debited from Deposit Account 13-2490.

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- \* Thomspen et al., U.S. Patent Application No. 09/103,636, filed June 23, 1998
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- \* Thompson et al., U.S. Patent Application No. 60/082,404, filed April 20, 1998
- \* Hartmann et al., U.S. Patent Application No. 60/101,074, filed September 21, 1998

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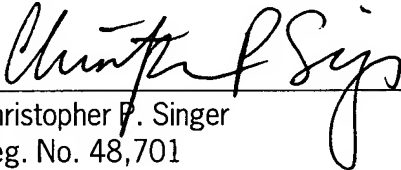
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Respectfully submitted,

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Applicant: Thompson et al.

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**U.S. PATENT APPLICATION DOCUMENTS**

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**Applicant: Thompson et al.**

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| <b>FORM PTO-1449</b><br><b>(Rev. 2-32)</b>  | <b>U.S. Department of Commerce</b><br><b>Patent and Trademark Office</b> | <b>Atty. Docket No.</b><br>00-873-I<br>(500.011) | <b>Serial No.</b><br>10/715,285 |
| <b>INFORMATION DISCLOSURE</b><br><b>STATEMENT BY APPLICANT</b><br><br>(Use several sheets if necessary) |  |  |                                 |
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